

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

CHAN KIT CHEONG

Name of Transferor

Court Claim # (if known): 39144  
Amount of Claim: USD65,000.00  
Date Claim Filed: 13 Oct, 2009

Phone: N/A  
Last Four Digits of Acct. #: 6626

Date: 14 August 2012

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_

Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

TANG WAI LIN

\_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 48193

Amount of Claim: USD128,464

Date Claim Filed: 27 Oct, 2009

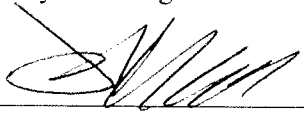
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 7822

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:  \_\_\_\_\_  
Transferee/Transferee's Agent

Date: 20 July 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

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Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

TANG WAI LIN

\_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 37799  
Amount of Claim: USD65,000  
Date Claim Filed: 13 Oct, 2009

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 7822

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 20 July 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a).  
Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

YUEN PATRICK SE-KIT

\_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 48214  
Amount of Claim: USD64,232  
Date Claim Filed: 27 Oct, 2009

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 3912

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 20 July 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

Address of Alleged Transferor:

Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**



Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

LEE CHI PAN

\_\_\_\_\_  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 38877  
Amount of Claim: USD65,000.00  
Date Claim Filed: 13 Oct, 2009

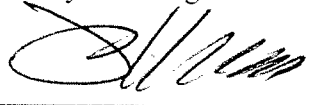
Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 7424

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
\_\_\_\_\_  
Transferee/Transferee's Agent

Date: 20 July 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

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**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

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Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

LAM PUI KAN

\_\_\_\_\_  
Name of Transferor

Court Claim # (if known): 38685  
Amount of Claim: USD64,232  
Date Claim Filed: 13 Oct, 2009

Phone: N/A  
Last Four Digits of Acct. #: 4301

Date: 20 July 2012

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

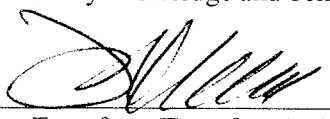
Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

CHAN YUK YING JOANNA/  
TAM SIU MING

\_\_\_\_\_  
Name of Transferor

Court Claim # (if known): 48152  
Amount of Claim: USD64,232.00  
Date Claim Filed: 27 Oct, 2009

Phone: N/A  
Last Four Digits of Acct. #: 4699

Date: 20 July 2012

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_ Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~DEADLINE TO OBJECT TO TRANSFER~

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Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

HA SHOK YEE, STELLA

Name of Transferor

Name and Address where notices to transferee  
should be sent:

21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 37834

Amount of Claim: USD 64,232

Date Claim Filed: 13 Oct, 2009

Phone: WM.Invest@sc.com

Last Four Digits of Acct #: 8881

Phone: N/A

Last Four Digits of Acct. #: 8683

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:  \_\_\_\_\_

Transferee/Transferee's Agent

Date: 20 Jul 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_

Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

Address of Alleged Transferor:

Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

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Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**



Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

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the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

SUNG KWOK CHIU  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 38183  
Amount of Claim: USD 65,000  
Date Claim Filed: 13 Oct, 2009

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 6688

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 20 Jul 2012

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_

Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

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Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**

Form 210A (10/06)

# United States Bankruptcy Court

## Southern District Of New York

In re Lehman Brothers Holdings Inc. et al., Debtors, Case No. 08-13555 (JMP) (Jointly Administered)

### TRANSFER OF CLAIM OTHER THAN FOR SECURITY

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Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of  
the transfer, other than for security, of the claim referenced in this evidence and notice.

Standard Chartered Bank (Hong Kong) Limite  
Name of Transferee

NAGAHARA AKIHIRO  
Name of Transferor

Name and Address where notices to transferee  
should be sent:  
21/F, Standard Chartered Tower,  
388 Kwun Tong Road, Hong Kong

Court Claim # (if known): 48269  
Amount of Claim: USD 300,000  
Date Claim Filed: 27 Oct, 2009

Phone: WM.Invest@sc.com  
Last Four Digits of Acct #: 8881

Phone: N/A  
Last Four Digits of Acct. #: 1833

Name and Address where transferee payments  
should be sent (if different from above):

Phone: \_\_\_\_\_  
Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct  
to the best of my knowledge and belief.

By:   
Transferee/Transferee's Agent

Date: 20 Jul 2012

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.*

Form 210B (10/06)

## United States Bankruptcy Court

\_\_\_\_\_ District Of \_\_\_\_\_

In re \_\_\_\_\_

Case No. \_\_\_\_\_

### NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY

Claim No. \_\_\_\_\_ (if known) was filed or deemed filed under 11 U.S.C. § 1111(a) in this case by the alleged transferor. As evidence of the transfer of that claim, the transferee filed a Transfer of Claim Other than for Security in the clerk's office of this court on \_\_\_\_\_ (date).

\_\_\_\_\_  
Name of Alleged Transferor

\_\_\_\_\_  
Name of Transferee

\_\_\_\_\_  
Address of Alleged Transferor:

\_\_\_\_\_  
Address of Transferee:

#### ~~DEADLINE TO OBJECT TO TRANSFER~~

The alleged transferor of the claim is hereby notified that objections must be filed with the court within twenty (20) days of the mailing of this notice. If no objection is timely received by the court, the transferee will be substituted as the original claimant without further order of the court.

Date: \_\_\_\_\_

\_\_\_\_\_  
**CLERK OF THE COURT**